



The Principal Financial Group Foundation, Inc. is pleased to provide support by matching the gifts made by employees, agents and retirees of the Principal Financial Group to Institutions of Higher Education. Questions should be directed to the Administrator of the Matching Gift Program at 515-235-1349.

Match: **50% of qualified gift** | Annual Max: **\$3000 match** | See page 2 of this form for full details on eligibility and qualified gifts.

PART A	
<b>To be completed by Employee, Agent or Retiree</b>	
<ol style="list-style-type: none"> <li>1) <b>Type or print clearly.</b></li> <li>2) Sign and send completed form along with your donation directly to the institution of higher education for their certification.</li> <li>3) Do not send uncertified gifts/applications to the Principal Financial Group Foundation, Inc.</li> <li>4) Institution must return to us by Dec. 15 for match in this calendar year.</li> </ol>	
<hr/> <i>Name of Employee, Agent or Retiree</i>	
<hr/> <i>Phone</i>	<hr/> <i>XXX-XX- Social Security Number (last 4 digits)</i>
<hr/> <i>Email</i>	
<hr/> <i>Home Street Address</i>	
<hr/> <i>City/State/Zip</i>	
<hr/> <i>Home Office Department No.      or      Producer Statement Code</i>	
<hr/> <i>Retirement Date (If retired)</i>	
<hr/> <i>Name of Spouse (If contributor is deceased)      Date of Death</i>	
<hr/> <div style="display: flex; justify-content: space-between;"> <span><i>Institution receiving grant</i></span> <span><i>\$ Amount of Gift (minimum of \$50)</i></span> </div>	
<input type="checkbox"/> Cash or Check	
<input type="checkbox"/> Securities as follows: _____	
<input type="checkbox"/> Life Insurance Premium as follows:	
<hr/> <i>Insurance Company</i>	<hr/> <i>Policy Number</i>
<hr/> <i>Type of Plan</i>	<hr/> <i>Date of Policy</i>
<div style="display: flex; justify-content: space-between;"> <span><i>\$ Amount</i></span> <span><i>How Payable</i></span> </div>	
This gift is <input type="checkbox"/> Unrestricted <input type="checkbox"/> To be used for: _____	
<hr/> <div style="display: flex; justify-content: space-between;"> <span><i>Donor's Signature required</i></span> <span><i>Date of signature</i></span> </div>	

PART B	
<b>To be completed by Institution of Higher Education</b>	
<ol style="list-style-type: none"> <li>1) <b>Type or print clearly.</b></li> <li>2) Send completed form to:                Attn: Administrator, Matching Grant Program                Principal Financial Group Foundation, Inc.                711 High St, Des Moines, Iowa 50392-0150             </li> <li>3) For a match in this calendar year, we must receive this form by Dec. 15. We will match in the next calendar year if we receive the form after Dec. 15.</li> </ol>	
<b>Note:</b> Principal Financial Group Foundation, Inc. only matches the tax-deductible portion of an eligible employee, agent or retiree's gift.	
<b>For Institution of Higher Education use only:</b>	
<hr/> <div style="display: flex; justify-content: space-between;"> <span><i>Name of Institution</i></span> <span><i>Public/Private</i></span> </div>	
<hr/> <div style="display: flex; justify-content: space-between;"> <span><i>\$ Total (tax deductible) amount received</i></span> <span><i>Date received</i></span> </div>	
I certify the receipt of the gift stated in Part A and this institution's eligibility for a matching grant as outlined on Page 2 of this form.	
<hr/> <div style="display: flex; justify-content: space-between;"> <span><i>Signature of Certifying Officer</i></span> <span><i>Date of signature</i></span> </div>	
<hr/> <div style="display: flex; justify-content: space-between;"> <span><i>Printed Name</i></span> <span><i>Title</i></span> </div>	
<hr/> <div style="display: flex; justify-content: space-between;"> <span><i>Tax Identification #</i></span> <span><i>Phone</i></span> </div>	
<hr/> <i>Street Address:</i>	
<hr/> <i>City/State/Zip</i>	

<b>Office Use Only</b>	
Date Received	
<div style="border: 1px solid black; height: 100px; width: 100%;"></div>	
Matched Amount: \$ _____	

## The Matching Grant Program - Institution of Higher Education

*The Principal Financial Group Foundation, Inc. reserves the right to amend, expand, or discontinue this Program at any time and to make such interpretations in the administration of the Program as may be required.*

### Purpose of the Program...

To encourage and supplement financial support for our public and private institutions of higher education, qualified personal gifts made to eligible institutions by eligible employees, agents and retirees of the Principal Financial Group will qualify for a matching grant from the Principal Financial Group Foundation, Inc. per the rules below.

### Matching Gift Process...

Send your gift along with the completed matching Grant Application Form directly to the institution. Forms are available on the intranet under Matching Grant. The institution receiving the gift accompanied by the form will certify the gift. **Do not send uncertified gifts / applications to The Principal.**

Normally, matching grants will be paid to the institution on a quarterly basis. Forms received after December 15<sup>th</sup> by the Principal Financial Group Foundation, Inc. will be matched in the following calendar year. Each person participating in the Program will be notified when his or her gift has been approved for matching.

### Gift Amount and Matching Limits...

Gifts may be made to more than one institution. The total matching gift is limited to \$3000.00 in any calendar year. Eligible persons and spouse's gifts are combined to reach the annual maximum. The matched rate is \$0.50 for each \$1.00 donated up to the maximum allowable amount. The minimum gift to any one institution which will be matched is \$50.

### Eligible Persons...

- (1) Regular benefit-eligible employees of the Principal Financial Group.
- (2) Full-time agents of the Principal Financial Group with a DD 713 Career Agents Contract or prior versions of that contract, who meet either of the following requirements:
  - (a) Minimum four years of service under such contract, and has met contract continuance in each of those years
  - (b) Life or qualifying member of MDRT
- (3) Brokers holding a DD 715, 726 or 728 Broker's Contract with the Principal Financial Group, who meet the following requirement:
  - (a) Minimum three years of service under such contract and has been credited with a total of at least \$20,000 of Initial Commission Credit for Principal business in each of the last three years.
- (4) General Agents of brokerage general agencies of the Principal Financial Group (DD714 contract) who have a minimum two years of service under such contract and with agency Initial Commission Credit exceeding \$100,000 in the prior calendar year.
- (5) Employees of Post Advisory Group.
- (6) Any person receiving retirement benefits under either of the following of the Principal Financial Group plans: "The Pension Plan for Corporate Center and Field Employees" or "The Pension Plan for Agents, General Managers and Agency Supervisory Assistants" and who met the above eligibility requirements in the year prior to retirement, except persons whose employment of Agents Contract was terminated prior to reaching 57.
- (7) Spouses of eligible persons.

### Note:

- (a) Gifts by deceased employees, agents and retirees of the Principal Financial Group and their spouses made prior to death will be matched in the year of death and not thereafter.
- (b) A person does not need to be a graduate or former student of the institution that is to receive the gift in order to participate in the program.

### Qualified Gifts...

- (1) To qualify for a matching grant, the gift must be made from personal funds by an eligible person or spouse. The gift may be in the form of cash, securities having a quoted market value, or premiums on life insurance policies under which the eligible institution is named as owner and irrevocable beneficiary.

- (2) If the gift is in the form of securities, the value to be considered is the sale of published price on the date of the gift.
- (3) Premiums on permanent plans of life insurance only will qualify for matching. The life insurance policy involved may be a new purchase or the ownership may be changed in a policy already in force. If the premium payment is other than annual, it may be more convenient to submit one application for a matching grant covering all premiums paid in a calendar year. **Note: Only premiums paid in full will be eligible for a match. The Foundation grant cannot be used as part of the premium payment.**
- (4) Only the tax-deductible portion of a gift is eligible for the match, any value or benefit must be subtracted from the total gift.
- (5) Gifts by eligible persons may be restricted or unrestricted. However, all matching grants will be unrestricted.
- (6) Gifts not eligible for matching grants include:
  - Bequests
  - Dues
  - Payments to alumni groups
  - Subscription fees
  - Payments for tuition or other student expenses such as books and fees
  - Contributions to fund athletic, music, or any other extra-curricular programs, including booster clubs
  - Payments to Parent Teacher or Parent Advisory organizations (including dues)
  - Ticket or merchandise purchases
  - Programs or initiatives where the primary purpose is the promotion of religion
  - Any gift that accrues a tangible benefit to the donor
  - Any payment not made as a direct contribution to an eligible institution

**Note:** Pledges will not be matched until actual payment is made.

### Eligible Institutions and Funds...

- (1) Any college or university, including graduate schools and junior colleges, which has received accreditation by a nationally recognized regional or professional association.
- (2) The American College of Life Underwriters.
- (3) Life Underwriters Training Council
- (4) General Agents and Managers Association
- (5) Million Dollar Round Table
- (6) Institutions beyond high school with professional accreditation or approval by state universities or state boards or departments of education.
- (7) An alumni fund, foundation or association of an eligible institution, provided that this special fund or group is recognized as tax exempt and the financial officer of the college or university certifies that the fund, foundation or association:
  - (a) is an integral part of the institution or -
  - (b) will transmit all funds it receives directly to the college or university or -
  - (c) will use all funds it receives entirely for the benefit of the college or university.Publicly as well as privately supported institutions may be eligible under the above rules.
- (8) The United Negro College Fund.

Note: Institutions eligible must be classified as a 501(c)(3) organization under the Internal Revenue Code and be in compliance with the U.S. Patriot Act.

### Nonqualified Uses for Matching Grant...

Matching funds contributed by the Foundation cannot be used to provide any direct benefit to any Principal individual employee or their immediate family members.

### Questions...

Questions concerning the operation of the Program may be directed to: Administrator, Matching Grant Program, Principal Financial Group Foundation, Inc., 711 High St, Des Moines, Iowa 50392-0150, phone: 515-235-1349 / fax: 515-246-5475.